

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/598861  
Applicant : KIM et al.  
Filed : September 13, 2006  
TC/A.U. : 1614  
Examiner :

Docket No. : 1751-0417  
Customer No. : 06449  
Confirmation No. : 1443

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

**STATUS INQUIRY**

Dear Sir:

Applicant respectfully inquires as to the status of the above-identified application. We received a Notice for Missing Requirements on this case dated June 12, 2007, however we filed the application complete on September 13, 2006. We responded to the notice on August 7, 2007 explaining the details and asking for the notice to be withdrawn.

According to PAIR this case is still showing as undergoing pre-exam processing even though we filed the application complete and even still responded to the notice issued incorrectly. Attached are copies of the response we filed on August 7, 2007 and a copy of the status of this case from the U.S. Patent Office PAIR website for reference. As you can see the case was filed complete therefore we kindly ask that the Official Filing Receipt and Notice of Acceptance issue on this case as soon as possible.

Respectfully submitted,

By: /gfr4/

G. Franklin Rothwell  
Registration No. 18,125  
Attorney for Applicant  
ROTHWELL, FIGG, ERNST & MANBECK  
1425 K. Street, Suite 800  
Washington, D.C. 20005  
Telephone: (202) 783-6040

**COPY**

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Applicant : KIM et al.  
Filed : September 13, 2006  
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Mail Stop: Missing Parts  
Director of the United States Patent  
and Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS  
AND REQUEST FOR WITHDRAWAL OF NOTICE

We are writing in response to the Notice to File Missing Requirements erroneously issued on June 12, 2007. We filed the application complete through EFS-Web on September 13, 2006.

Contact was made to Ms. Deborah Williams regarding the notice being issued incorrectly and we asked that the notice be withdrawn and the Official Filing Receipt and Notice of Acceptance be issued on the case. We stated that the declaration is listed on the items submitted with the initial filing and was also showing in the image file wrapper in Private PAIR for this case. She then asked for us to fax a copy of the electronic filing receipt showing that the declaration was in fact filed with the initial application (copy enclosed). The fax was sent to her attention on June 26, 2007.

Further contact was made on July 17, 2007 and July 19, 2007 asking about the status of the Notice to File Missing Requirements withdrawal and the Filing Receipt/Notice of Acceptance. She asked for us to fax in a second copy of the declaration to her attention, and did not want to accept the EFS-Web receipt as proof that the declaration was already filed. It was explained to her that it is not our practice to send in the second copy of the declaration because of the risk of being charged the late declaration surcharge and having the 371 date being stated on the date it re-sent, as opposed to the originally filed date.

***COPY***

I am therefore sending in an official request to withdraw the notice and attaching a copy of the receipt showing the declaration was in fact filed on September 13, 2006. We kindly ask that the Official Filing Receipt and Notice of Acceptance be issued on this case as soon as possible.

Respectfully submitted,

By: /gfr4/  
G. Franklin Rothwell  
Registration No. 18,125  
Attorney for Applicant  
ROTHWELL, FIGG, ERNST & MANBECK  
1425 K. Street, Suite 800  
Washington, D.C. 20005  
Telephone: (202) 783-6040

**Electronic Acknowledgement Receipt*****COPY***

<b>EFS ID:</b>	1198965
<b>Application Number:</b>	10598861
<b>Confirmation Number:</b>	1443
<b>Title of Invention:</b>	DISUBSTITUTED CUCURBITURILS AND PREPARING METHOD THEREOF
<b>First Named Inventor:</b>	Kimoon KIM
<b>Customer Number:</b>	06449
<b>Filer:</b>	G. Franklin Rothwell/Tim Brown
<b>Filer Authorized By:</b>	G. Franklin Rothwell
<b>Attorney Docket Number:</b>	1751-417
<b>Receipt Date:</b>	13-SEP-2006
<b>Filing Date:</b>	
<b>Time Stamp:</b>	17:33:34
<b>Application Type:</b>	U.S. National Stage under 35 USC 371
<b>International Application Number:</b>	PCT/KR04/00536

**Payment information:**

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 450
RAM confirmation Number	454
Deposit Account	022135

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:  
Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	NPL Documents	FlinnArticle.pdf	270531	<b>COPY</b> no	3
Warnings:					
Information:					
2	NPL Documents	FreemanArticle.pdf	214057	no	2
Warnings:					
Information:					
3	NPL Documents	KimArticle.pdf	243539	no	2
Warnings:					
Information:					
4	Documents submitted with 371 Applications	PCTRO101.pdf	125599	no	5
Warnings:					
Information:					
5	Documents submitted with 371 Applications	pct210.pdf	139832	no	3
Warnings:					
Information:					
6	Documents submitted with 371 Applications	pct220.pdf	77584	no	1
Warnings:					
Information:					
7	Documents submitted with 371 Applications	pct237.pdf	203643	no	5
Warnings:					
Information:					
8	Documents submitted with 371 Applications	pct301.pdf	146615	no	3
Warnings:					
Information:					
9	Documents submitted with 371 Applications	pct308.pdf	139938	no	2
Warnings:					

<b>Information:</b>					
10	Documents submitted with 371 Applications	pct332.pdf	58106	<b>COPY</b> no	1
<b>Warnings:</b>					
<b>Information:</b>					
11	Documents submitted with 371 Applications	pct409.pdf	216043	no	5
<b>Warnings:</b>					
<b>Information:</b>					
12	Documents submitted with 371 Applications	pct416.pdf	68312	no	1
<b>Warnings:</b>					
<b>Information:</b>					
13	Documents submitted with 371 Applications	WO2005087777.pdf	795731	no	19
<b>Warnings:</b>					
<b>Information:</b>					
14	Transmittal letter	1390.pdf	128372	no	3
<b>Warnings:</b>					
<b>Information:</b>					
15	Translation of Foreign Priority Documents	EnglishTranslation.pdf	679843	no	16
<b>Warnings:</b>					
<b>Information:</b>					
16	Oath or Declaration filed	ExecutedDeclaration.pdf	201320	no	2
<b>Warnings:</b>					
<b>Information:</b>					
17	Information Disclosure Statement (IDS) Filed	IDS.pdf	63659	no	1
<b>Warnings:</b>					
<b>Information:</b>					
This is not an USPTO supplied IDS fillable form					
18	Foreign Reference	DE19603377A1.pdf	380401	no	6

<b>Warnings:</b>					
<b>Information:</b>					
19	Foreign Reference	KR1020040069814A.pdf	443932	no	9
<b>Warnings:</b>					
<b>Information:</b>					
20	Foreign Reference	WO00068232.pdf	3384548	no	112
<b>Warnings:</b>					
<b>Information:</b>					
21	Foreign Reference	WO03004500.pdf	1767960	no	45
<b>Warnings:</b>					
<b>Information:</b>					
22	Foreign Reference	WO03055888.pdf	2029891	no	45
<b>Warnings:</b>					
<b>Information:</b>					
23	Application Data Sheet	ADS.pdf	1123930	no	5
<b>Warnings:</b>					
<b>Information:</b>					
24	Preliminary Amendment	PrelimAmend.pdf	139678	no	8
<b>Warnings:</b>					
<b>Information:</b>					
25	Information Disclosure Statement (IDS) Filed	SB-08.pdf	709645	no	4
<b>Warnings:</b>					
<b>Information:</b>					
26	Specification	MarkedSpec.pdf	254322	no	17
<b>Warnings:</b>					
<b>Information:</b>					
27	Specification	CleanSpec.pdf	253741	no	17

Warnings:					
Information:					
28	Fee Worksheet (PTO-875)	fee-info.pdf	8414	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			14269186		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					

**COPY**



# Electronic Acknowledgement Receipt

***COPY***

<b>EFS ID:</b>	2056518
<b>Application Number:</b>	10598861
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	1443
<b>Title of Invention:</b>	DISUBSTITUTED CUCURBITURILS AND PREPARING METHOD THEREOF
<b>First Named Inventor/Applicant Name:</b>	Kimoon KIM
<b>Customer Number:</b>	6449
<b>Filer:</b>	G. Franklin Rothwell/Victoria Deffenbaugh
<b>Filer Authorized By:</b>	G. Franklin Rothwell
<b>Attorney Docket Number:</b>	1751-417
<b>Receipt Date:</b>	07-AUG-2007
<b>Filing Date:</b>	
<b>Time Stamp:</b>	16:10:03
<b>Application Type:</b>	U.S. National Stage under 35 USC 371

## Payment information:

Submitted with Payment	no
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Applicant Response to Pre-Exam Formalities Notice	response.pdf	180120 be981bd6f8df36388763d87fcae36979d e3e6b12	no	7

## Warnings:

**Information:**

**Total Files Size (in bytes):**

180,425

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

10/598,861

DISUBSTITUTED CUCURBITURILS AND PREPARING METHOD THEREOF

11-28-  
2007::14:28:02

**This application is officially maintained in electronic form. To View: Click the desired Document Description. To Download and Print: Check the desired document(s) and click Start Download.**

### Available Documents

Mail Room Date	Document Code	Document Description	Document Category	Page Count
08-07-2007	PEFR	<b>Applicant Response to Pre-Exam Formalities Notice</b>	<b>PROSECUTION</b>	<b>7</b>
08-07-2007	N417	Status Letter Mailed to Applicant	PROSECUTION	2
06-12-2007	M905	Notice of DO/EO Missing Requirements Mailed	PROSECUTION	2
09-15-2006	A.PE	Preliminary Amendment	PROSECUTION	1
09-15-2006	SPEC	Specification	PROSECUTION	1
09-15-2006	REM	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	1
09-15-2006	TRNA	Transmittal of New Application	PROSECUTION	2
09-15-2006	WFEE	Fee Worksheet (PTO-06)	PROSECUTION	1
09-15-2006	WCLM	Claims Worksheet (PTO-2022)	PROSECUTION	1
09-15-2006	IMIS	Miscellaneous Internal Document	PROSECUTION	1
09-13-2006	NPL	NPL Documents	PRIOR ART	3
09-13-2006	NPL	NPL Documents	PRIOR ART	2
09-13-2006	NPL	NPL Documents	PRIOR ART	2
09-13-2006	371P	Documents submitted with 371 Applications	PRIOR ART	5
09-13-2006	371P	Documents submitted with 371 Applications	PRIOR ART	3
09-13-2006	371P	Documents submitted with 371 Applications	PRIOR ART	1
09-13-2006	371P	Documents submitted with 371 Applications	PRIOR ART	5
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09-13-2006	371P	Documents submitted with 371 Applications	PRIOR ART	1
09-13-2006	371P	Documents submitted with 371 Applications	PRIOR ART	5
09-13-2006	371P	Documents submitted with 371 Applications	PRIOR ART	1
09-13-2006	ABST	Abstract	PRIOR ART	1
09-13-2006	SPEC	Specification	PRIOR ART	12
09-13-2006	CLM	Claims	PRIOR ART	4
09-13-2006	371P	Documents submitted with 371 Applications	PRIOR ART	2
09-13-2006	TRNA	Transmittal of New Application	PRIOR ART	3
09-13-2006	SPEC	Specification	PRIOR ART	12
09-13-2006	CLM	Claims	PRIOR ART	4
→ 09-13-2006	OATH	Oath or Declaration filed	PRIOR ART	2
09-13-2006	IDS	Information Disclosure Statement (IDS) Filed	PRIOR ART	1
09-13-2006	FOR	Foreign Reference	PRIOR ART	6
09-13-2006	FOR	Foreign Reference	PRIOR ART	9
09-13-2006	FOR	Foreign Reference	PRIOR ART	112
09-13-2006	FOR	Foreign Reference	PRIOR ART	45
09-13-2006	FOR	Foreign Reference	PRIOR ART	45
09-13-2006	ADS	Application Data Sheet	PRIOR ART	5
09-13-2006	A.PE	Preliminary Amendment	PRIOR ART	1
09-13-2006	SPEC	Specification	PRIOR ART	1
09-13-2006	CLM	Claims	PRIOR ART	4
09-13-2006	ABST	Abstract	PRIOR ART	1
09-13-2006	REM	Applicant Arguments/Remarks Made in an Amendment	PRIOR ART	1
09-13-2006	IDS	Information Disclosure Statement (IDS) Filed	PRIOR ART	4
09-13-2006	REM	Applicant Arguments/Remarks Made in an Amendment	PRIOR ART	17
09-13-2006	SPEC	Specification	PRIOR ART	17

09-13-2006	WFEE	Fee Worksheet (PTO-06)	PRIOR ART	2
09-13-2006	N417	Status Letter Mailed to Applicant	PRIOR ART	5
09-13-2006	ABST	Abstract	PROSECUTION	1
09-13-2006	SPEC	Specification	PROSECUTION	12
09-13-2006	CLM	Claims	PROSECUTION	4

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